

This Is A Voluntary Document

Date : _____

To,
ISSL Settlement & Transaction Services Limited,
IL&FS House, Raheja Vihar,
Chandivali, Andheri (East),
Mumbai - 400072

Dear Madam / Sir,

Sub : Registration of Email Id(s) for receipt of Reports

Exchange : _____

I / We choose to and would accordingly like to receive my / our Dayend Reports, Balance Confirmation Reports and other communication in electronic form only

You are hereby requested to forward these to the following email id(s) on behalf of my / our organization :

I / We agree that non receipt of a bounced mail notification by ISTSL shall amount to delivery of the communication to the aforementioned email id(s)

I / We undertake to intimate you, in writing, in case of any additions / deletions / changes to the above list

Thanking you,

Sincerely,

This Is A Voluntary Document

Date : _____

To,
ISSL Settlement & Transaction Services Limited,
IL&FS House, Raheja Vihar,
Chandivali, Andheri (East),
Mumbai - 400072

Dear Madam / Sir,

Sub : Registration of Mobile Numbers for the SMS facility

Exchange : _____

You are hereby requested to activate the SMS facility to the following mobile numbers on behalf of my / our organization :

Mobile Number	Name of the Subscriber	Relationship with the Co	Signature of the Subscriber

I / We acknowledge that ISTSL is dependent on external service providers for the delivery of the SMS' and hence shall not be held responsible for a delay or disruption in this service. We further agree that the SMS service provided by ISTSL is a value added service, at no extra cost, and that ISTSL is at liberty to discontinue this service at any point of time without any advance notice. We hereby indemnify ISTSL from all costs, demands, charges, penalties and other adverse action(s) taken against ISTSL by the Telecom Regulatory Authority of India (TRAI) for sending SMS' to the abovementioned numbers

We undertake to intimate you, in writing, in case of any additions / deletions / changes to the above list

Thanking you,

Sincerely,

This Is A Voluntary Document

Date : _____

To,
ISSL Settlement & Transaction Services Limited,
IL&FS House, Raheja Vihar,
Chandivali, Andheri (East),
Mumbai - 400072

Dear Madam / Sir,

Demat Account Details

Securities and / or Commodities as Collateral

Exchange : _____

Herebelow please find details of my / our Demat Accounts from which I / we would be placing Securities and / or Commodities as collateral towards my / our margin requirements :

	Securities	Commodities	Commodities
Depository		NSDL	CDSL
DP Name			
DP Id			
Client Id			
Account Holder's Name(s)			

With respect to the above, :

- I / We hereby categorically permit and authorize ISTSL to submit / pledge / transfer the Securities and / or Commodities placed / submitted by me / us as collateral onward with the Exchange towards the collective requirement from ISTSL
- I / We hereby agree that ISTSL shall transfer back / return the Securities and / or Commodities placed / submitted by me / us to the aforementioned accounts only
- I / We hereby shall inform you, in writing, of any change in the above provided details

Thanking you, \

Sincerely,

This Is A Voluntary Document

Date : _____

The Manager,

Bank : _____ ,

Branch : _____ ,

City : _____ PIN : _____

Sub : Authority Letter

Dear Madam / Sir,

This is to hereby inform you that I / we have appointed M/s ISSL Settlement & Transaction Services Limited (ISTSL) as my / our Clearing Member on the following Exchange(s)

I / We have executed a Power of Attorney(s) in favour of ISTSL, authorizing ISTSL to operate the below mentioned account(s) in my / our name, which you are hereby requested to take on record :

Exchange	Settlement A/c No	Client A/c No
ACE		
ICEX		
MCX		
NBOT		
NCDEX		
NMCE		
UCX		
NSEL		
NSPOT		

I / We, accordingly, request and authorize the Bank to :

- transfer to / receive from ISTSL funds as per instructions received from ISTSL from time to time
- report balances and other information relating to the above accounts, as requested / required by ISTSL from time to time

I / We further state and confirm that :

- the above authorization does not cast any duty on the Bank to monitor these transactions
- the transfers and the parting of information shall be done at my / our risk and consequence
- I / we agree to ratify the instructions received from ISTSL
- I / we undertake to indemnify the Bank and hold it harmless against any loss / risk / damage the Bank may sustain due to the execution of the instructions stated above
- I / we shall abide by the rules of the Bank, in force from time to time

Thanking you,

Sincerely,

Date : _____

To,
ISSL Settlement & Transaction Services Limited,
IL&FS House, Raheja Vihar,
Chandivali, Andheri (East),
Mumbai - 400072

Dear Madam / Sir,

Sub : Bank Account Details

Exchange : _____

Please find herebelow the details of my / our Bank Account(s) for the purpose of settlement of my / our funds obligations on the aforementioned Exchange :

Bank Name :		
Branch :		City :
MICR :		IFSC :
Account	Number	Nomenclature
Settlement		
Client		

Also herebelow please find details of my / our Bank Accounts for the payment / reimbursement of Interest and Dividend :

Interest		Dividend
	Nomenclature	
	Bank Name	
	Branch	
	A/c Number	
	MICR	
	IFSC	

I / We hereby undertake to inform you, in writing, of any change in the above details

Thanking you,
Sincerely,

To be franked for Rs 100 & Notary required

UNDERTAKING

Date : _____

To,
ISSL Settlement & Transaction Services Limited,
IL&FS House, Raheja Vihar,
Chandivali, Andheri (East),
Mumbai - 400072

Dear Sir,

I / We hereby confirm / undertake that neither I / we and nor any of my / our Proprietor / Directors / Partners / Karta and Constituents of the Dominant Promoter Group :

- a) are not debarred / suspended / expelled / declared defaulters by SEBI / FMC / RBI / any recognized Exchange (Stock / Currency / Commodity / Spot)
- b) are not connected with any of the defaulting / debarred / expelled / suspended Member of any recognized Exchange (Stock / Currency / Commodity / Spot)
- c) there are no complaints / disciplinary action against us at SEBI / FMC / RBI / any recognized Exchange (Stock / Currency / Commodity / Spot)
- d) no investigation / enquiry by SEBI / FMC / RBI / any recognized Exchange (Stock / Currency / Commodity / Spot) is pending against us or undertaken against us
- e) the Proprietor / Karta / Partners / Directors of the Member entity are not suspended / expelled / declared defaulter / insolvent/bankrupt by any recognized Exchange (Stock / Currency / Commodity / Spot)
- f) the Proprietor / Karta / Partners / Directors of the Member entity are not involved in any type of money laundering activity/ies
- g) the Proprietor / Karta / Partners / Directors of the Member entity have not committed any act and / or have not any time convicted for any act involving threat to the public / national interest
- h) that I / we will not exceed the position limits prescribed by the Exchange(s) and / or the Regulator(s) from time to time
- i) that I / we shall inform you, the Exchange(s) and the Regulator(s) if any of my / our Family Members, Directors, Partners, Partnership Firms, Proprietorship Firms, Companies, Limited Liability Partnerships, Hindu Undivided Family(s), Trusts or any other legal entity associated with me / us takes or holds any positions in any commodity forward contract / commodity derivatives contract through any other Member of the respective Exchange(s) to enable you and / or the Exchange(s) to restrict my / our positions as per the limits prescribed from time to time

In case of any action / complaints / investigation / enquiry by any Statutory Agency / Regulatory Agency / recognized Exchange (Stock / Currency / Commodity / Spot) in future, I / we undertake to intimate you immediately

I / We declare that the information given above is true and any misstatement or misrepresentation or suppression of facts in connection with the above undertaking may entail disassociation / disaffiliation and / or cessation of service with / to me / us

On Company's Letterhead

Date:

To,

ISSL Settlement & Transaction Services Limited (ISTSL),

IL&FS House, Raheja Vihar,

Chandivli, Andheri (East),

Mumbai – 400072

Dear Sir/Madam,

Sub: Authority for debiting my/our account for all charges levied by the Exchange/ Clearing Corporations/ Other Intermediaries.

I/We hereby give authority to ISSL Settlement & Transaction Services Limited (ISTSL) to debit my / our settlement account for all the charges levied by the Exchange / Clearing Corporations / Other Intermediaries towards the following:

- a) Membership,
- b) Connectivity,
- c) Insurance,
- d) Turnover,
- e) VSAT,
- f) Non – Compliance of Client Information,
- g) Lease Line,
- h) Registration / Cancellation for authorized person,
- i) Any other charges levied by the Exchange / Clearing Corporations / Other Intermediaries.

I/We hereby agree that in case at the time of levy of charges by the Exchange, I/We may not be associated with ISTSL, I/We shall continue to be responsible to pay the charges to ISTSL.

In case of non availability of funds in our account with ISTSL, I/We hereby approve and authorize ISTSL to adjust any pending charges payable to ISTSL from my/our funds available with ISSL.

Thanking You,

Sincerely,