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Name of the Clearing Member	IL&FS Securities Services Limited
SEBI Regn No & Date	BSE EqDs - INF011133834 dated June 02,2000 NSE EqDs - INF231133630 dated June 02,2000 MCX-SX EqDs - INF261476735 dated January 23,2013
Registered Office Address	IL&FS House, Raheja Vihar, Chandivali, Andheri (East), Mumbai – 400072
Phone No(s)	022 – 4249 3000 (Board)
Fax No(s)	022 – 2857 6134 / 257 0983
Website	www.ilfsdp.com
Correspondence Office Address	IL&FS House, Raheja Vihar, Chandivali, Andheri (East), Mumbai – 400072
Phone No(s)	022 – 4249 3000 (Board)
Fax No(s)	022 – 2857 6134 / 2857 0983
Compliance Officer's Name Phone No Email Id	Mr. SK Mukhopadhyay 022-42493600 SK.Mukhopadhyay@issl.co.in
Chief Executive Officer's Name Phone No Email Id	Mr. S Rengarajan 022-42493606 S.Rengarajan@ilfsindia.com

For any grievance/dispute, please contact us as per above details or email: ***Grievance.FNO@issl.co.in***. In case of any escalation, you may contact our Senior officials as following:

Name	**Phone No.**	**Email Id**
Mr. V Hansprakash	022-42493620	V.Hansprakash@issl.co.in
Mr. Jairam Srinavasan	080-43464010	Jairam.Srinivas@issl.co.in
Mr. Vikram Chibber	022-42493500	Vikram.Chibber@issl.co.in
Mr. SK Mukhopadhyay	022-42493600	SK.Mukhopadhyay@issl.co.in

Please find the contact details of investor grievance cell of the Exchanges as follows :

Exchange	**Email**	**Phone No.**	**Fax No.**
NSE	ignse@nse.co.in	022-26598190	022-26598191
BSE	stanies.crasto@bseindia.com, yogesh.bambardekar@bseindia.com	022-22721233/34, 22728286	022-22723677
MCX-SX	investorcomplaints@mcx-sx.com	022-67319000	022-67319000

INSTRUCTIONS

1) Please donot use white ink on any of the documents

2) All alterations, corrections, blank spaces and striked out portions need to be authenticated by affixation of the Client's initials

3) The last page of all the documents requires a full signature and the others can be initialed

4) 'Client Registration Form' (CRF) :

    a) Should be complete in all respects with the non-applicable portions striked out and authenticated

    b) Should be filled in CAPITAL letters

    c) Please provide membership details of only those Segments / Exchanges where you wish to avail of ISSL's services and affix your signature against them

    d) The name of the Client should be exactly the same as on the PAN Card

    e) In case the client is a 'Sole Proprietorship' or a 'Hindu Undivided Family', the name and PAN of the Proprietor / Karta needs to be updated and a SCC of the PAN Card and the document towards Proof of Address needs to be provided

    f) Address

        i) PO Box No is not accepted as a valid address

        ii) The address filled up in the CRF should be the same as that on the document submitted towards Proof of Address

        iii) The PIN Code is a mandatory requirement and should also appear on the document submitted towards Proof of Address

        iv) Documents acceptable as Proof of Address are :

        Individuals : Valid Passport, Valid Driving License, Voter's Id and Ration Card

        Non-Individuals : Registration Certificate of the Registrar of Companies, acknowledged copy of the latest Income Tax Return, Bank Statement (containing transaction of not more than two months old), wired Landline Telephone Bill (not more than two months old), Electricity Bill (not more than two months old), valid Rent Agreement, valid Leave and License Agreement and Agreement for Sale

    g) Financial details as per the latest Networth Certificate ('as on date' being within 6 months of the submission date) and the last two Financial Years and SCC of the supporting documents

    h) Details of any adverse regulatory action to be filled up

5) Attachments / Supportings to the CRF required to be submitted are :

    a) General – applicable to all Clients

        i) SCC of the related SEBI Registration Certificate

        ii) SCC of the PAN Card (both front and back sides)

iii) SCC of the document towards Proof of Address

iv) SCC of the latest Networth Certificate

v) SCC of the Annual Accounts for the last 2 years

vi) SCC of the latest IT Return for the last 2 years alongwith computation

vii) SCC of Cancelled Cheque bearing Account Holder's name, MICR Code, NEFT Code and RTGS Code

b) Additionally applicable to Domestic Body Corporates

i) CTC of the Memorandum & Articles of Association

ii) CTC of the Certificate of Incorporation

iii) CTC of the Board Resolution

iv) CTC of the List of Directors

v) CTC of the Shareholding Pattern

c) Additionally applicable to Limited Liability Partnerships

i) Declaration from the Designated Partners

ii) CTC of the LLP Agreement

iii) CTC of the Certificate of Incorporation

iv) CTC of the List of Partners

d) Additionally applicable to Partnership Firms

i) Declaration from the Partners

ii) CTC of the Partnership Deed

iii) CTC of the Partnership Registration Certificate

iv) List of Partners and their profit sharing ratio

e) Applicable to Hindu Undivided Families / Proprietorship Firms

i) Declaration from the Karta / Proprietor

ii) SCC of the PAN Card of the Karta / Proprietor

iii) SCC of the document towards Proof of Address of the Karta / Proprietor

6) KYC Details of the Authorized Signatories

a) The name should be exactly the same as on the PAN Card

b) A SCC of the PAN Card, also certified by the TM, is required to be submitted

c) A SCC of a valid document towards the 'Proof of Address', also certified by the TM, is required to be submitted

d) A colour photograph (not a photocopy or a computer printout) of the Authorized Signatory is required to be pasted (not stapled or pinned)

e) The Authorized Signatory is required to affix his / her signature on the photograph and this signature is required to be affixed partly on the photograph and partly outside

f) The signature should match with the one on the PAN Card

7) Specimen Signature Card

The specimen signatures of the Authorized Signatories need to be affixed in black ink

8) Clearing Member – Trading Member Agreement (CMTM Agreement)

a) Required to be franked for Rs 300.00

b) White Ink not to be used on the document

c) Blank portions required to be striked off and authenticated

d) Corrections / alterations required to be authenticated by affixation of the round stamp and initials of the Authorized Signatories

e) Rubber Stamp and Full Signature to be affixed at the bottom of each page

f) If a Corporate, Common Seal required to be affixed on the last page and signatures of the Authorized Signatories (additional set required) to be affixed next to the Common Seal

g) Affixation of the Common Seal to be witnessed as per the relevant clause in the Board Resolution, which in turn is required to be in consonance with the relevant clause in the Articles of Association of the Company

h) Name, address and signature of two witnesses are required to be affixed on the last page

i) Document is required to be Notarized

j) Execution Date and the date of the Notary should be the same date

9) a) If the client so wishes, it / he / she can execute a Power of Attorney in favour of the Clearing Member, authorizing the Clearing Member to operate selective bank accounts in the name of the Client

b) The PoA is required to be franked for Rs 100.00 and Notarized

c) A letter addressed to the Bank, requesting the Bank to take on record the PoA would be required

d) A CTC of the PoA would be required to be submitted alongwith the PoA and Authority Letter

10) The Schedule of Charges (SoC) needs to be signed and submitted to the Clearing Member

11) Letters

The following Letters need to be printed on the letterhead, stamped, signed and submitted :

d) Letter providing mobile numbers for SMS service

e) Letter providing email id(s) for receiving reports and other communication in electronic form

SECURITIES SERVICES LIMITED

Registered Office : IL&FS House, Raheja Vihar, Chandivali, Andheri (East), Mumbai - 400072
Phone : (022) 4249 3000 , 2857 7731 , 2857 0982 / Fax : (022) 2857 6134 , 2857 0983 / Email : FNO.Group@issl.co.in
SEBI Regn Nos : INF011133834, INF231133630, INF261476735 , INE011311532, INE231308334, INE261313337, INE271377439

CLIENT REGISTRATION FORM FOR EMPANELMENT OF TRADING MEMBERS

Please provide details only for the Exchange(s) / Segment(s) where you wish to appoint ISSL as your CM and affix your signature against the same

Segment	Equity Derivatives (EqDS)			Currency Derivatives (CuDS)			
Exchange	BSE	NSE	MCXSX	BSE	NSE	MCXSX	USE
TM Code							
SEBI Regn No							
Signature							

Client Name

PAN	Legal Status	Date of Birth / Incorporation

If the 'Legal Status' is 'Sole Proprietorship' / 'Hindu Undivided Family', please provide details of the Proprietor / Karta

Name	PAN

Occupation :
Source of funds :
Nature of business :

Contact Details of the Trading Member

STD Code :	Phone Nos
	Fax Nos
Email Id	

Person to be contacted during urgent / emergency situations (should be one of the Authorized Signatories)

Name	Designation
Mobile No	Residence No
Email Id	
Residence Address	

Registered Address (PO Box No not acceptable, PIN Code mandatory)

Document towards Proof of Address

Document Description	Number	Date of Issue	Expiry Date

Correspondence Address, if not the same as the 'Registered Address' (PIN Code mandatory)

Document towards Proof of Address

Document Description	Number	Date of Issue	Expiry Date

Financial Details

Networth as on	`	
Annual Income	FY `	FY `

Please advise whether any adverse action has been taken by any Exchange (Stock, Currency, Commodity or any other) or Regulator (SEBI, RBI, FMC, EOW, FIU or any other Taxation / Regulatory / Government Authority) against the Applicant and / or its Partners / Promoters / Directors / Authorized Signatories / Key Managerial Personnel and / or the Applicant's Associates / Group Companies during the last 3 years. If yes, please provide details as a separate annexure	Yes / No

Do you or any of your Partners / Promoters / Directors, Authorized Signatories and Key Managerial Personnel have any exposure to Politically Exposed Person(s) (PEPs) as defined by the Regulator(s) from time-to-time ? A PEP has been currently defined as "an individual and / or a family member / close relative of an individual who is, or has been, entrusted with prominent public functions in a foreign country such as heads of states or of governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations or important political party officials". If yes, please provide details of this exposure / relationship, the source of funds and the wealth of persons identified as PEP(s) as a separate annexure	Yes / No

Would you like to avail of the 'Close-Out' facility provided by the Exchange(s) ?	Yes / No

Declaration(s)

I / We hereby state that the information given above is true, correct and complete to the best of my / our knowledge and information. I / We further state that no relevant material fact has been suppressed. I / We agree that in the event of any of the above statements being found false, incorrect or incomplete, I / we understand that ISSL may take any action as it may deem fit, including termination of this relationship. I / We hereby undertake to inform ISSL about any change in the abovementioned facts within 15 days of the change taking place / coming to my / our knowledge, whichever is earlier. I / We are aware of the Laws, Rules, Regulations, Bye-Laws, Circulars, and Notifications of SEBI, the respective Exchange(s) and their respective Clearing Corporation(s) as well as the Terms & Conditions laid down by ISSL and agree to abide by them. I / We further confirm that I / we shall abide by the applicable / relevant Acts / Laws, including the Prevention of Money Laundering Act, 2002 and shall be solely responsible for adherence to the same. I / We hereby confirm that the funds invested into this business have been and shall be sourced through legitimate sources only and would not be used for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act, Prevention of Corruption Act and / or other rule(s), regulation(s), notification(s), law(s), act(s) etc. in force and as modified from time to time by the Government of India

Date :

Place :

Stamp & Signature of the Applicant / Chairman / Managing Director / Partner

Photograph, Specimen Signature and KYC Details of the Applicant / Directors / Partners / Authorized Signatories of M/s

Name :		Photograph to be affixed here (pasted, not stapled or pinned). Signature required across photograph in a manner whereby a part of the signature appears on the photograph and a part outside
Designation :		
PAN No :	Mobile No :	
Document towards 'Proof of Address' :		
Doc :		
No :	DoI :	
Expiry Date (if applicable) :		

Name :		Photograph to be affixed here (pasted, not stapled or pinned). Signature required across photograph in a manner whereby a part of the signature appears on the photograph and a part outside
Designation :		
PAN No :	Mobile No :	
Document towards 'Proof of Address' :		
Doc :		
No :	DoI :	
Expiry Date (if applicable) :		

Name :		Photograph to be affixed here (pasted, not stapled or pinned). Signature required across photograph in a manner whereby a part of the signature appears on the photograph and a part outside
Designation :		
PAN No :	Mobile No :	
Document towards 'Proof of Address' :		
Doc :		
No :	DoI :	
Expiry Date (if applicable) :		

Date :

Place :

Stamp & Signature of the Applicant / Chairman / Managing Director / Partner

Photograph, Specimen Signature and KYC Details of the Ultimate Beneficial Owner of M/s

Name :		Photograph to be affixed here (pasted, not stapled or pinned). Signature required across photograph in a manner whereby a part of the signature appears on the photograph and a part outside
Designation :		
PAN No :	Mobile No :	
Document towards 'Proof of Address' :		
Doc :		
No :	DoI :	
Expiry Date (if applicable) :		

Name :		Photograph to be affixed here (pasted, not stapled or pinned). Signature required across photograph in a manner whereby a part of the signature appears on the photograph and a part outside
Designation :		
PAN No :	Mobile No :	
Document towards 'Proof of Address' :		
Doc :		
No :	DoI :	
Expiry Date (if applicable) :		

Name :		Photograph to be affixed here (pasted, not stapled or pinned). Signature required across photograph in a manner whereby a part of the signature appears on the photograph and a part outside
Designation :		
PAN No :	Mobile No :	
Document towards 'Proof of Address' :		
Doc :		
No :	DoI :	
Expiry Date (if applicable) :		

Date :

Place :

Stamp & Signature of the Applicant / Chairman / Managing Director / Partner

Specimen Signature Card – M/s

Name :	
Initials	Specimen Signature

Name :	
Initials	Specimen Signature

Name :	
Initials	Specimen Signature

Name :	
Initials	Specimen Signature

Name :	
Initials	Specimen Signature

Name :	
Initials	Specimen Signature

Name :	
Initials	Specimen Signature

Name :	
Initials	Specimen Signature

Name :	
Initials	Specimen Signature

Name :	
Initials	Specimen Signature

Name :	
Initials	Specimen Signature

Name :	
Initials	Specimen Signature

Date :

Place :

Stamp & Signature of the Applicant / Chairman / Managing Director / Partner