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PLEASENOTE THAT INCOMPLETE DOCUMENTS SHALL NOT BE PROCESSED

Name of the Clearing Member	IL&FS Securities Services Limited
SEBI Regn No & Date	BSE EqDs - INF011133834 dated June 02,2000 NSE EqDs - INF231133630 dated June 02,2000 MCX-SX EqDs - INF261476735 dated January 23,2013
Registered Office Address	IL&FS House, Raheja Vihar, Chandivali, Andheri (East), Mumbai – 400072
Phone No(s)	022 – 4249 3000 (Board)
Fax No(s)	022 – 2857 6134 / 257 0983
Website	www.ilfsdp.com
Correspondence Office Address	IL&FS House, Raheja Vihar, Chandivali, Andheri (East), Mumbai – 400072
Phone No(s)	022 – 4249 3000 (Board)
Fax No(s)	022 – 2857 6134 / 2857 0983
Compliance Officer's Name Phone No Email Id	Mr. SK Mukhopadhyay 022-42493600 SK.Mukhopadhyay@issl.co.in
Chief Executive Officer's Name Phone No Email Id	Mr. S Rengarajan 022-42493606 S.Rengarajan@ilfsindia.com

For any grievance/dispute, please contact us as per above details or email: ***Grievance.FNO@issl.co.in***. In case of any escalation, you may contact our Senior officials as following:

Name	**Phone No.**	**Email Id**
Mr. V Hansprakash	022-42493620	V.Hansprakash@issl.co.in
Mr. Jairam Srinavasan	080-43464010	Jairam.Srinivas@issl.co.in
Mr. Vikram Chibber	022-42493500	Vikram.Chibber@issl.co.in
Mr. SK Mukhopadhyay	022-42493600	SK.Mukhopadhyay@issl.co.in

Please find the contact details of investor grievance cell of the Exchanges as follows :

Exchange	**Email**	**Phone No.**	**Fax No.**
NSE	ignse@nse.co.in	022-26598190	022-26598191
BSE	stanies.crasto@bseindia.com, yogesh.bambardekar@bseindia.com	022-22721233/34, 22728286	022-22723677
MCX-SX	investorcomplaints@mcx-sx.com	022-67319000	022-67319000

INSTRUCTIONS

1) PLEASE DONOT USE white ink on any of the documents

2) All alteration, corrections, blank spaces and striked out portions need to be authenticated by affixation of the Applicant's initials

3) Each page of the submissions is required to be initialed

4) Application cum Client Registration Form (ACRF)

   a) Should be complete in all respects with the non-applicable portions striked out and authenticated

   b) Should be filled in CAPITAL letters

   c) Please tick the Segments / Exchanges where you wish to empanel with ISSL on and also affix your initials to authenticate the same

   d) The name of the Applicant should be exactly the same as on the PAN Card

   e) Address :

      i) PO Box no is not accepted as a valid address

      ii) The address filled up in the ACRF should be the same as that on the document submitted towards Proof of Address

      iii) The PIN Code is a mandatory requirement and should also be provided in the document submitted towards Proof of Address

      iv) Documents acceptable towards Proof of Address are :

      Individuals : Valid Passport, Valid Driving License, Voter's Id, Ration Card, Bank Statement (for last two month period) and Electricity Bill (not more than two months old)

      Non-Individuals : Registration Certificate of the Registrar of Companies, acknowledged copy of the latest Income Tax Return, Bank Statement (containing transaction of not more than two months old), wired Landline Telephone Bill (not more than two months old), Electricity Bill (not more than two months old), valid Rent Agreement, valid Leave and License Agreement and Agreement for Sale

   f) Financial details :: Income over last 3 years and Networth value to be filled up and the relevant supporting documents to be attached

g) Incase of Non-Individuals, please provide registration details and also provide a CTC of the Registration Certificate

h) Incase of a Mutual Fund Scheme, details of the AMC and a CTC of the SEBI Registration Certificate to be provided

i) Incase of a Proprietorship Firm / Hindu Undivided Family, details and SCC of the PAN Card of the Proprietor / Karta to be provided

j) Incase of the Applicant being a NRI, details of the Applicant's Passport are required to be provided. It is also mandatory to provide a copy of the Passport certified as a true copy by a Competent Authority (*) and a Declaration regarding 'only one passport' is required to be provided

   (*) Competent Authority : Indian Embassy / Consulate General / Notary Public / Any Court / Magistrate / Judge / Local Banker in the country where the NRI resides. The attesting authority should affix a "verified with original" stamp and provide his / her name, designation, signature and the date on the said documents

k) Please ensure that correct details with regard to the Bank Account and the Demat Account are filled up. SCC of the documentary evidence is required to be provided. Signature of all the Demat Account holders are required to be affixed

l) Please read the Declarations properly before signing the ACRF. Details, if any, are required to be provided as a separate annexure

m) Please affix your full signature on the last page and initial the others

5) Attachments / Supporting Documents required to be submitted :

a) General – applicable to all categories of clients :

   i) SCC / CTC of the PAN Card (both front and back sides)

   ii) SCC / CTC of the document towards Proof of Address

   iii) Latest Networth Certificate alongwith computation on CA's letterhead (as per format provided) {For Non-NRIs the minimum Networth requirement is Rs 50 Lakhs}

   iv) SCC / CTC of the latest IT Return for the last 3 years alongwith computation

   v) SCC / CTC of Cancelled Cheque bearing Account Holder's name, MICR Code, NEFT Code and RTGS Code towards proof of bank account

   vi) SCC / CTC of the Client Master Report or the top portion of your Demat Account statement towards proof of demat account

b) Additionally applicable to Individuals

i) Nomination Form, duly executed and witnessed by two witnesses

ii) Incase of a NRI, copy of Passport (SCC + Certified by a Competent Authority)

c) Additionally applicable to Domestic Body Corporates

i) CTC of the Memorandum & Articles of Association

ii) CTC of the Certificate of Incorporation

iii) CTC of the Board Resolution

iv) CTC of the List of Directors

v) CTC of the Shareholding Pattern

vi) SCC of the Annual Accounts for the last 2 years

d) Additionally applicable to Limited Liability Partnerships

i) Declaration from the Designated Partners (on letterhead)

ii) CTC of the LLP Agreement

iii) CTC of the Certificate of Incorporation

iv) CTC of the List of Partners

v) CTC of the Annual Accounts for the last 2 years

e) Additionally applicable to Partnership Firms

i) Declaration from the Partners (on letterhead)

ii) CTC of the Partnership Deed

iii) CTC of the Partnership Registration Certificate

iv) List of Partners and their profit sharing ratio

v) CTC of the Annual Accounts for the last 2 years

f) Applicable to Hindu Undivided Families / Proprietorship Firms

i) Declaration from the Karta / Proprietor (on letterhead)

ii) SCC of the PAN Card of the Karta / Proprietor

iii) SCC of the document towards Proof of Address of the Karta / Proprietor

iv) SCC of the Annual Accounts for the last 2 years

g) Applicable to Banks, Financial Institutions and Mutual Funds

i) CTC of the PAN Card of the AMC (if mutual fund scheme)

ii) CTC of the RBI / IRDA / SEBI Registration Certificate

iii) CTC of the Annual Accounts for the last 2 years

6) Additional Requirements :

a) For Non Individuals : KYC details of the Directors / Partners / Designated Partners / Authorized Signatories

i) The name and signature should be exactly the same as on the PAN Card

ii) Photograph (and not computer printout or photocopy) to be pasted (and not stapled or pinned)

iii) SCC + CTC of PAN Card (both sides, front and back) to be provided

iv) SCC + CTC of document towards Proof of Address to be provided

v) KYC sheet to be signed by the Director / Partner / Designated Partner

vi) Specimen Signature Card carrying the specimen signatures of the Authorized Signatories, signed in black ink for scanning and e-storing

b) Application for CP Code, duly signed

c) Clearing Member – Constituent (Custodial Participant) Agreement

i) Required to be franked for Rs 300

ii) Please refrain from using white ink. Corrections, if any, can be done by striking off and authenticating the same by affixing your initials

iii) Full signature required at the bottom of each page and on the last page. Incase of the Applicant being a corporate body, the Common Seal needs to be affixed. This affixation is required to be authenticated as per the relevant clause in the Board Resolution, which in turn is required to be in consonance with the relevant clause in the Article of Association of the Company

iv) Name, address and signature of two witnesses to be affixed on the last page

v) The document needs to be Notarized. Please ensure that the date of execution is the same as that of the date of notarization

d) Please affix your full signature on each page of the document named ‘Terms, Conditions, Policies and Procedures Disclosure Document’

e) Please affix your signature on the last page of the ‘Risk Disclosure Document’ and the document detailing ‘Investors Rights and Obligations’. All other pages are required to be initialed

f) The Introducer is required to fill and sign the relevant form

g) Board Resolution / Declaration to be submitted

h) The Schedule of Charges to be signed at the place marked ‘Agreed and Accepted’ is required to be submitted

7) The following documents are voluntary / non-mandatory submissions :

a) Incase the Applicant has authorized a third person to manage his / her / its account, an authorization to that effect needs to be submitted to ISSL. The person taking over this responsibility too is required to sign the form as a confirmation of his acceptance. ISSL would not entertain any instructions from any third party without the receipt of this authority letter

b) In order to track your trades, we request that you provide the details of your Trading Members and the commercial terms agreed to with them. Please note that ISSL is under no obligation to check the correctness of the brokerage and other charges levied by your Trading Members. It only acts as a transit point as a matter of convenience for you. You may, however, choose to settle these charges directly with the Trading Members if you so wish

c) This letter should be read carefully as it authorizes ISSL to carry out various activities on your behalf (including but not limited to accepting instructions from the person managing your account, confirming trades executed on your behalf on a standing instruction basis, collecting brokerage and other charges from you and settling them with your Trading Members on your behalf, etc) and also indemnifying ISSL from having carried out these activities on your behalf

d) If you so wish, you may execute a Power of Attorney in favour of ISSL. We suggest opening of a separate, dedicated bank account for this purpose as it helps proper audit trail and tracking. It also helps faster settlement of margin and pay-in obligations

e) Incase you choose to execute the PoA in ISSL’s favour, we would require you to instruct your Bank to take the PoA on record by way of the draft letter provided by us

IL&FS SECURITIES SERVICES LIMITED	For Office Use (Risk Category)
Registered Office : IL&FS House, Raheja Vihar, Chandivali, Andheri (East), Mumbai – 400072 Phone : (022) 4249 3000, 4249 3621 – 4249 3657 / Fax : (022) 2857 6134 , 2857 0983 / Email : FNO.Group@issl.co.in SEBI Regn Nos : INF011133834, INF231133630, INE011311532, INE231308334, INE261313337, INE271377439	

APPLICATION cum CLIENT REGISTRATION FORM
for a
CONSTITUENT / CUSTODIAL PARTICIPANT

	SLB			EqDS			CuDS			
	BOISL	NSCCL		BSE	NSE		BSE	NSE	MCXSX	USE
Plz □										
Initials										

Status / Category (Please tick one of the following) :			
Resident Individual	Proprietorship Firm	Partnership Firm	HUF
Public / Pvt Ltd Company	LLP	Registered Trust	Society
Mutual Fund	Bank	Insurance Company	Statutory Body
Domestic Financial Institution (Other than Banks & Insurance Companies)		NRI	FII

Applicant's Name		For Individuals : Photograph to be affixed (pasted and not stapled) here. Please sign across the photograph in a manner whereby a portion of the signature appears on the photograph and the rest outside the photograph
PAN		
Date of Birth / Incorporation	Mobile No(s)	
Phone No(s)	Fax No(s)	
Email Id(s)		

Residence / Registered Address in India								
City	State	PIN						

Document towards Proof of Address	
Document Name	
Number	Date of Issue
Place of Issue	Valid Till

Overseas Address (in case of NRIs) / Correspondence Address, if other than Registered / Residence Address			
City	State	Country	ZIP

Document towards Proof of Address	
Document Name	
Number	Date of Issue
Place of Issue	Valid Till

Financial Status							
Annual Income (Please Tick)	FY	< 1 Lakh	1 – 5 Lakhs	5 – 10 Lakhs	10 – 25 Lakhs	25 – 50 Lakhs	> 50 Lakhs
	-						
	-						
	-						
Networth	Rs			As on			
Nature of Business and Source of Funds							

Investment Experience		
No previous Experience	No of years in Stocks	No of years in other investment related fields

For Individuals			
Occupation	Salaried	Self Employed	Business
	Professional	Home Maker	Retired
	Student	Govt Service	Politics

	Others :
Name, Address & Contact Details of the Employer (for the salaried) / Organization (for the rest)	

For Non Individuals – Registration Details			
Authority	Number	Date	Place

For a Scheme of a Mutual Fund and / or a Sub Account of a Foreign Institution Investor	
Name of the AMC / FII	
PAN	SEBI Regn No

For a Proprietorship / HUF / Minor	
Name of the Proprietor / Karta / Guardian	PAN

For an NRI (Passport Details)	Of which Country
Passport Number	Place of Issue
Date of Issue	Valid Till

Bank Account Details			
Bank Name		Branch	
Branch Address			
A/c Type	A/c Number	MICR Code	IFSC Code

Demat Account Details			
Depository	DP Name	DP Id	Client Id
1st Joint Holder's Name		2nd Joint Holder's Name	

Declaration by the Account Holders I / We hereby declare that I / we would be placing securities as collateral with you from the above mentioned demat account. I / We hereby authorize you to place these securities onwards with the respective Exchange / Approved Intermediary / Custodian as collateral. I / We are aware that the securities so placed could be sold, liquidated or in any way disposed off by ISSL in order to recover any amounts due from the Applicant. I / We shall also be using this account for lending securities on the SLB Segment where the Applicant is registered / empanelled		
First Holder's Signature	1st Joint Holder's Signature	2nd Joint Holder's Signature

Do you or any of your Relatives / Associates / Group Companies have any existing relationship with any Company / Business of the IL&FS Group ? If yes, please provide details as a separate annexure	Yes	No
Please advise whether any adverse action has been taken by any Exchange (Stock, Currency, Commodity or any other) or Regulator (SEBI, RBI, FMC, EOW, FIU or any other Taxation / Regulatory / Government Authority) against the Applicant and / or its Partners / Promoters / Directors / Authorized Signatories / Key Managerial Personnel and / or the Applicant's Associates / Group Companies during the last 3 years If yes, please provide details as a separate annexure	Yes	No
Do you or any of your Partners / Promoters / Directors, Authorized Signatories and Key Managerial Personnel have any exposure to Politically Exposed Person(s) (PEPs) as defined by the Regulator(s) from time-to-time ? A PEP has been currently defined as "an individual and / or a family member / close relative of an individual who is, or has been, entrusted with prominent public functions in a foreign country such as heads of states or of governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations or important political party officials" If yes, please provide details of this exposure / relationship, the source of funds and the wealth of persons identified as PEP(s) as a separate annexure	Yes	No

Declarations :

I / We hereby state that the information given above is true, correct and complete to the best of my / our knowledge and information. I / We further state that no relevant material fact has been suppressed. I / We agree that in the event of any of the above statements being found false, incorrect or incomplete, I / we understand that ISSL may take any action as it may deem fit, including termination of this relationship. I / We hereby undertake to inform ISSL about any change in the abovementioned facts within 15 days of the change taking place / coming to my / our knowledge, whichever is earlier. I / We further state and confirm that, except for the details provided above, neither I / we, nor any of our Promoters / Directors / Partners / Key Managerial Personnel have ever been blacklisted / suspended / debarred from trading by any of the Stock / Commodity / Currency Exchanges and / or any Regulatory / Government Authorities. I / We additionally confirm that no action has been taken against me / us by any authority for violation of any law or for committing any economic offence. I / We are aware of the Laws, Rules, Regulations, Bye-Laws, Circulars and Notifications of applicable Clearing Corporation(s), Exchange(s), Regulator(s) and the Terms & Conditions laid down by ISSL and agree to abide by them. I / We further confirm that I / we shall abide by the applicable / relevant Acts / Laws, including the Prevention of Money Laundering Act, 2002 as modified from time to time and shall be solely responsible for adherence to them. I / We hereby confirm that the funds / securities / collaterals inducted into this activity have been sourced through legitimate sources only and are not being used for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act, Prevention of Corruption Act and / or other rule(s), regulation(s), notification(s), law(s), act(s) etc. in force

Date : _______________

☺

Place : ______________

Stamp & Signature of the Applicant

(Please take photocopies of this page on your letterhead)

KYC Details of the Directors / Partners / Authorized Signatories of
M/s ____

Name :		Photograph to be affixed (pasted and not stapled) here. Please sign across the photograph in a manner whereby a portion of the signature appears on the photograph and the rest outside the photograph
Designation :	PAN :	
Direct No :	Mobile No :	
Residential Address :		
Document towards Proof of Address :		Specimen Signature :
Document :	Issuer :	
Number :	Date of Issue :	
Place of Issue :	Valid Upto :	

Name :		Photograph to be affixed (pasted and not stapled) here. Please sign across the photograph in a manner whereby a portion of the signature appears on the photograph and the rest outside the photograph
Designation :	PAN :	
Direct No :	Mobile No :	
Residential Address :		
Document towards Proof of Address :		Specimen Signature :
Document :	Issuer :	
Number :	Date of Issue :	
Place of Issue :	Valid Upto :	

Date : ______________
Place : _____________

Stamp & Signature of the Applicant

For Non Individuals : On the letterhead of your organization

Specimen Signatures of the Directors / Partners / Authorized Signatories of
M/s ____

Initials	Full Signature
Name :	

Initials	Full Signature
Name :	

Initials	Full Signature
Name :	

Initials	Full Signature
Name :	

Initials	Full Signature
Name :	

Initials	Full Signature
Name :	

Initials	Full Signature
Name :	

Initials	Full Signature
Name :	

Initials	Full Signature
Name :	

Initials	Full Signature
Name :	

Stamp & Signature of the Applicant