

List A (NSDL)

**Reasons/purpose of the transfer of securities between BO accounts held in NSDL system.
(For Account Transfer & Inter DP Transaction) with effect from January 29, 2021**

Reason Code	Reasons / purpose to be selected
1	Off-market Sale
3	Margin returned by stock broker/PCM
4	Transfer to Own account
12	Buy-back
13	Open offer for Acquisition
14	Redemption of Mutual Fund Units (To be used for transfer of Mutual Fund Units from client BO account to AMC's BO account on account of redemption)
15	Transposition – Between joint account of same holders (To be used for transfer of securities between joint account of same holders (order of holders different in both accounts).
16	Merger/ Demerger of corporate entity (For these types of transfers supporting documents needs to be provided by corporate entities to its Participants)
17	Dissolution/Restructuring/Winding up of Partnership Firm/Trust (For these types of transfers, supporting documents needs to be provided by transferor/ account holder(s) to its Participants)
18	Conversion of Depository Receipt (DR) to underlying securities and vice versa (To be used for transfer of underlying securities for issuance/cancellation of Depository Receipts)
19	Trust to Beneficiaries/On HUF dissolution to Karta & Coparceners (For these types of transfers, supporting documents/ Deeds needs to be provided by transferor/ account holder(s) to Participants)
21	Transfer from Nominee/Surviving holder to beneficiary
23	Transfer of securities from/to account of PMS provider to/from the account of its various clients
24	Margin to Custodian

Reason Code	Reasons / purpose to be selected
25	Margin returned by Custodian
26	Change of nominee shareholder appointed under section 187(1) of Companies Act
27	Off-Market Swap
28	Delisting – Exit Offer
29	Deposit of securities with Escrow Agent & its return
88	Transfer between Minor Account and Guardian Account (To be used for transfer of securities between Minor Account and Guardian Account)
89	Transfer between specified family members (To be used for transfer of securities between specified family members: Client should provide document to establish the “relation” between the transferor and transferee. Family members means relatives as given below: 1. Spouse, 2. Father (including step-father), 3. Mother (including step-mother), 4. Son (including step-son) 5. Son's wife, 6. Daughter, 7. Daughter's husband, 8. Brother (including step-brother), 9. Sister (including step-sister) 10. Members of same HUF.)
90	Transfer between Partner and Firm, or Director and Company (To be used for transfer of securities between Partner and Partnership Firm in which he/she is a partner or transfer of securities between Director and the Company in which he/she is a director.)
92	Gift
93	Donation
94	Refund of securities by IEPF Authority
95	ESOP/Transfer to employee
96	Implementation of Govt./Regulatory Direction/Orders
97	Erroneous Transfer pertaining to Client Securities